Presidential Broadcast Service Bureau of Broadcast Services

Freedom of Information Manual

(Revised Edition)

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SECTION 1: OVERVIEW

- 1. **Purpose:** The purpose of this Presidential Broadcast Service Bureau of Broadcast Services (PBS-BBS) FOI Manual is to provide the process to guide and assist the PBS-BBS in dealing with requests of information received under Executive Order (E.O.) No. 2 on Freedom of Information (FOI). (Annex "B")
- 2. **Structure of the Manual:** This Manual shall set out the rules and procedures to be followed by the PBS-BBS when a request for access to information is received. The PBS-BBS Director is responsible for all actions carried out under this Manual and may delegate this responsibility. The PBS-BBS Director may delegate a specific officer to act as the Decision Maker (DM), who shall have overall responsibility for the initial decision on FOI requests, (i.e. to decide whether to release all the records, partially release the records or deny access).
- 3. **Coverage of the Manual:** The Manual shall cover all requests for information directed to the PBS-BBS.
- 4. **FOI Receiving Officer:** There shall be an FOI Receiving Officer (FRO) designated at the PBS-BBS. The FRO shall come from any office of the PBS-BBS. The FRO shall hold office at Presidential Broadcast Service-Bureau of Broadcast Services.

The functions of the FRO shall include receiving on behalf of the PBS-BBS all requests for information and forward the same to the appropriate office who has custody of the records; monitor all FOI requests and appeals; provide assistance to the FOI Decision Maker; provide assistance and support to the public and staff with regard to FOI; compile statistical information as required; and, conduct initial evaluation of the request and advise the requesting party whether the request will be forwarded to the FOI Decision Maker for further evaluation, or deny the request based on:

- a. That the form is incomplete; or
- b. That the information is already disclosed in the PBS-BBS's Official Website, foi.gov.ph, or at data.gov.ph.

Local offices of the PBS-BBS shall assign their respective FROs. (Annex "C")

- 5. **FOI Decision Maker:** There shall be an FOI Decision Maker (FDM), designated by the PBS-BBS Director, who shall conduct evaluation of the request for information and has the authority to grant the request, or deny it based on the following:
 - a. The PBS-BBS does not have the information requested;
 - b. The information requested contains sensitive personal information protected by the Data Privacy Act of 2012;
 - c. The information requested falls under the list of exceptions to FOI; or
 - d. The request is an unreasonable subsequent identical or substantially similar request from the same requesting party whose request has already been previously granted or denied by the PBS-BBS.
- 6. **Central Appeals and Review Committee:** There shall be a central appeals and review committee composed of three (3) officials with a rank not lower than a Director or its equivalent, designated by the Director of the PBS-BBS to review and analyze the grant or denial of request of information. The Committee shall also provide expert advice to the PBS-BBS Director on the denial of such request.
- 7. **Approval and Denial of Request to Information:** The Decision Maker shall approve or deny all request of information. In case where the Decision Maker is on official leave, the Director of the PBS-BBS may delegate such authority to his Chief of Staff or any Officer not below the rank of a Director.

SECTION 2: DEFINITION OF TERMS

CONSULTATION. When a government office locates a record that contains information of interest to another office, it will ask for the views of that other PBS-BBS on the disclosability of the records before any final determination is made. This process is called a "consultation."

data.gov.ph. The Open Data website that serves as the government's comprehensive portal for all public government data that is searchable, understandable, and accessible.

eFOI.gov.ph. The website that serves as the government's comprehensive FOI website for all information on the FOI. Among many other features, eFOI.gov.ph provides a central resource for the public to understand the FOI, to locate records that are already available online, and to learn how to make a request for information that is not yet publicly available. eFOI.gov.ph also promotes PBS-BBS accountability for the administration of the FOI by graphically displaying the detailed statistics contained in Annual FOI Reports, so that they can be compared by PBS-BBS and over time.

EXCEPTIONS. Information that should not be released and disclosed in response to a FOI request because they are protected by the Constitution, laws or jurisprudence.

FREEDOM OF INFORMATION (FOI).The Executive Branch recognizes the right of the people to information on matters of public concern, and adopts and implements a policy of full public disclosure of all its transactions involving public interest, subject to the procedures and limitations provided in Executive Order No. 2. This right is indispensable to the exercise of the right of the people and their organizations to effective and reasonable participation at all levels of social, political and economic decision-making.

FOI CONTACT. The name, address and phone number at each government office where you can make a FOI request

FOI REQUEST. A written request submitted to a government office personally or by email asking for records on any topic. A FOI request can generally be made by any Filipino to any government office.

FOI RECEIVING OFFICE. The primary contact at each PBS-BBS where the requesting party can call and ask questions about the FOI process or the pending FOI request.

FREQUENTLY REQUESTED INFORMATION. Information released in response to a FOI request that the PBS-BBS determines have become or are likely to become the subject of subsequent requests for substantially the same records.

FULL DENIAL. When the PBS-BBS or any of its office, bureau or PBS-BBS cannot release any records in response to a FOI request, because, for example, the requested information

is exempt from disclosure in its entirety or no records responsive to the request could be located.

FULL GRANT. When a government office is able to disclose all records in full in response to a FOI request.

INFORMATION. Shall mean any records, documents, papers, reports, letters, contracts, minutes and transcripts of official meetings, maps, books, photographs, data, research materials, films, sound and video recording, magnetic or other tapes, electronic data, computer stored data, any other like or similar data or materials recorded, stored or archived in whatever format, whether offline or online, which are made, received, or kept in or under the control and custody of any government office pursuant to law, executive order, and rules and regulations or in connection with the performance or transaction of official business by any government office.

INFORMATION FOR DISCLOSURE. Information promoting the awareness and understanding of policies, programs, activities, rules or revisions affecting the public, government agencies, and the community and economy. It also includes information encouraging familiarity with the general operations, thrusts, and programs of the government. In line with the concept of proactive disclosure and open data, these types of information can already be posted to government websites, such as data.gov.ph, without need for written requests from the public.

MULTI-TRACK PROCESSING. A system that divides incoming FOI requests according to their complexity so that simple requests requiring relatively minimal review are placed in one processing track and more complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

OFFICIAL RECORD/S. Shall refer to information produced or received by a public officer or employee, or by a government office in an official capacity or pursuant to a public function or duty.

OPEN DATA. Refers to publicly available data structured in a way that enables the data to be fully discoverable and usable by end users.

PARTIAL GRANT/PARTIAL DENIAL. When a government office is able to disclose portions of the records in response to a FOI request, but must deny other portions of the request.

PENDING REQUEST OR PENDING APPEAL. An FOI request or administrative appeal for which a government office has not yet taken final action in all respects. It captures anything that is open at a given time including requests that are well within the statutory response time.

PERFECTED REQUEST. A FOI request, which reasonably describes the records, sought and is made in accordance with the government office's regulations.

PERSONAL INFORMATION. Shall refer to any information, whether recorded in a material form or not, from which the identify of an individual is apparent or can be reasonably and directly ascertained by the entity holding the information, or when put together with other information would directly and certainly identify an individual.

PROACTIVE DISCLOSURE. Information made publicly available by government agencies without waiting for a specific FOI request. Government agencies now post on their websites a vast amount of material concerning their functions and mission.

PROCESSED REQUEST OR PROCESSED APPEAL. The number of requests or appeals where the PBS-BBS has completed its work and sent a final response to the requester.

PUBLIC RECORDS. Shall include information required by laws, executive orders, rules, or regulations to be entered, kept, and made publicly available by a government office.

RECEIVED REQUEST OR RECEIVED APPEAL. An FOI request or administrative appeal that the PBS-BBS has received within a fiscal year.

REFERRAL. When a government office locates a record that originated with, or is of otherwise primary interest to another PBS-BBS, it will forward that record to the other PBS-BBS to process the record and to provide the final determination directly to the requester. This process is called a "referral."

SENSITIVE PERSONAL INFORMATION. As defined in the Data Privacy Act of 2012, shall refer to personal information:

- (1) About an individual race, ethnic origin, marital status, age, color, and religious philosophical or political affiliations;
- (2) About an individual health, education, genetic or sexual life of a person, or to any proceedings for any offense committed or alleged to have committed by such person, the disposal of such proceedings or the sentence of any court in such proceedings;
- (3) Issued by government agencies peculiar to an individual which includes, but not limited to, social security numbers, previous or current health records, licenses or its denials, suspension or revocation, and tax returns; and
- (4) Specifically established by an executive order or an act of Congress to be kept classified.

SIMPLE REQUEST. A FOI request that the PBS-BBS anticipates will involve a small volume of material or which will be able to be processed relatively quickly.

SECTION 3. PROMOTION OF OPENNESS IN GOVERNMENT

- **1. Duty to Publish Information.** The PBS-BBS shall regularly publish, print and disseminate at no cost to the public and in an accessible form, in conjunction with Republic Act 9485, or the Anti-Red Tape Act of 2007, and through their website, timely, true, accurate and updated key information including, but not limited to:
 - a. A description of its mandate, structure, powers, functions, duties and decision-making processes;
 - b. A description of the frontline services it delivers and the procedure and length of time by which they may be availed of;
 - c. The names of its key officials, their powers, functions and responsibilities, and their profiles and curriculum vitae;
 - d. Work programs, development plans, investment plans, projects, performance targets and accomplishments, and budgets, revenue allotments and expenditures;
 - e. Important rules and regulations, orders or decisions;
 - f. Current and important database and statistics that it generates;
 - g. Bidding processes and requirements; and
 - h. Mechanisms or procedures by which the public may participate in or otherwise influence the formulation of policy or the exercise of its powers.
- **2.** Accessibility of Language and Form. The PBS-BBS shall endeavor to translate key information into major Filipino languages and present them in popular form and means.
- **3. Keeping of Records** The PBS-BBS shall create and/or maintain in appropriate formats, accurate and reasonably complete documentation or records, policies, transactions, decisions, resolutions, enactments, actions, procedures, operations, activities, communications and documents received or filed with them and the data generated or collected.

SECTION 4. PROTECTION OF PRIVACY

While providing for access to information, the PBS-BBS shall afford full protection to a person's right to privacy, as follows:

- 1. The PBS-BBS shall ensure that personal information, particularly sensitive personal information, in its custody or under its control is disclosed only as permitted by existing laws;
- 2. The PBS-BBS shall protect personal information in its custody or under its control by making reasonable security arrangements against unauthorized access, leaks or premature disclosure;
- 3. The FRO, FDM, or any employee or official who has access, whether authorized or unauthorized, to personal information in the custody of the PBS-BBS, shall not disclose that information except as authorized by existing laws.

SECTION 4-A. LIST OF EXCEPTION (See Annex "D")

SECTION 5. STANDARD PROCEDURE (See **Annex "E"** for flowchart)

1. Receipt of Request for Information.

- **1.1** The FOI Receiving Officer (FRO) shall receive the request for information from the requesting party and check compliance of the following requirements:
 - The request must be in writing;
 - The request shall shall state the name and contact information of the requesting party, as well as provide valid proof of identification or authorization; and
 - The request shall reasonably describe the information requested, and the reason for, or purpose of, the request for information. (See **Annex "F"**)

The request can be made through email, provided that the requesting party shall attach in the email a scanned copy of the FOI application request, and a copy of a duly recognized government ID with photo.

1.2 In case the requesting party is unable to make a written request, because of illiteracy or due to being a person with disability, he or she may make an oral request, and the FRO shall reduce it in writing.

- 1.3 The request shall be stamped received by the FRO, indicating the date and time of the receipt of the written request, and the name, rank, title and position of the public officer who actually received it, with a corresponding signature and a copy, furnished to the requesting party. In case of email requests, the email shall be printed out and shall follow the procedure mentioned above, and be acknowledged by electronic mail. The FRO shall input the details of the request on the Request Tracking System and allocate a reference number.
- 1.4 The PBS-BBS must respond to requests promptly, within the fifteenth (15) working day following the date of receipt of the request. A working day is any day other than a Saturday, Sunday or a day which is declared a national public holiday in the Philippines. In computing for the period, Art. 13 of the New Civil Code shall be observed.

The date of receipt of the request will be either:

- a. The day on which the request is physically or electronically delivered to the government office, or directly into the email inbox of a member of staff; or
- b. If the government office has asked the requesting party for further details to identify and locate the requested information, the date on which the necessary clarification is received.

An exception to this will be where the request has been emailed to an absent member of staff, and this has generated an 'out of office' message with instructions on how to re-direct the message to another contact. Where this is the case, the date of receipt will be the day the request arrives in the inbox of that contact.

Should the requested information need further details to identify or locate, then the 15 working days will commence the day after it receives the required clarification from the requesting party. If no clarification is received from the requesting party after sixty (60) calendar days, the request shall be closed.

- **2. Initial Evaluation.** After receipt of the request for information, the FRO shall evaluate the contents of the request.
 - 2.1. Request relating to more than one office under the PBS-BBS: If a request for information is received which requires to be complied with, of different offices, the FRO shall forward such request to the said office concerned and ensure that it is well coordinated and monitor its compliance. The FRO shall also clear with the respective FROs of such offices that they will only provide the specific information that relates to their offices.
 - 2.2. Requested information is not in the custody of the PBS-BBS or any of its offices: If the requested information is not in the custody of the PBS-BBS or

any of its offices, FRO shall undertake the following steps with respect to the provision of "No Wrong Door Policy for FOI" pursuant to FOI MC. No. 21-05:

• When the requested information is not in the possession of a government agency (Government Agency No. 1 or GA1), but is available in another government agency (Government Agency No. 2 or GA2) under the executive branch, the request shall be immediately referred by GA1 to GA2 through the most expeditious manner but not exceeding three (3) working days from the receipt of the request. This shall be considered as the "First Referral" and a fresh period will apply.

Referral to the appropriate government agency shall mean that another government office is the proper repository or custodian of the requested information or records, or have control over the said information or records.

- If GA1 fails to refer the request within three (3) working days upon its receipt, the FRO shall act on it within the remaining period to respond pursuant to EO No. 02, s. 2016. No fresh period shall apply.
- If GA1 in good faith, erroneously referred the request to GA2, the latter shall immediately notify the former as well as the requesting party, that the information requested is not available in the Agency
- GA2, to whom the request was referred under the First Referral may subsequently refer the request to another government agency (Government Agency No. 3 or GA3) under the procedure set forth in the first paragraph of the referral process. This shall be considered as the "Second Referral" and another fresh period shall apply.

Referrals shall only be limited to two (2) subsequent transfer of request. A written or email acknowledgement of the referral shall be made by the FRO of the government agency where it was referred.

- The requesting party shall be notified of the referral and must be provided with the reason or rationale thereof, and contact details of the government office where the request was referred.
- **2.3. Requested information is already posted and available on-line:** Should the information being requested is already posted and publicly available in the PBS-BBS website, data.gov.ph or foi.gov.ph, the FRO shall inform the requesting party of the said fact and provide them the website link where the information is posted.
- 2.4. Requested information is substantially similar or identical to the previous request: Should the requested information be substantially similar or

identical to a previous request by the same requester, the request shall be denied. However, the FRO shall inform the applicant of the reason of such denial.

- 3. Transmittal of Request by the FRO to the FDM: After receipt of the request for information, the FRO shall evaluate the information being requested, and notify the FDM of such request. The copy of the request shall be forwarded to such FDM within one (1) day from receipt of the written request. The FRO shall record the date, time and name of the FDM who received the request in a record book with the corresponding signature of acknowledgement of receipt of the request.
- **4. Role of FDM in processing the request:** Upon receipt of the request for information from the FRO, the FDM shall assess and clarify the request if necessary. He or she shall make all necessary steps to locate and retrieve the information requested. The FDM shall ensure that the complete information requested be submitted to the FRO within 10 days upon receipt of such request.

The FRO shall note the date and time of receipt of the information from the FDM and report to the PBS-BBS Director or the designated officer, in case the submission is beyond the 10-day period.

If the FDM needs further details to identify or locate the information, he shall, through the FRO, seek clarification from the requesting party. The clarification shall stop the running of the 15 working day period and will commence the day after it receives the required clarification from the requesting party.

If the FDM determines that a record contains information of interest to another office, the FDM shall consult with the PBS-BBS concerned on the disclosability of the records before making any final determination.

- 5. Role of FRO to transmit the information to the requesting party: Upon receipt of the requested information from the FDM, the FRO shall collate and ensure that the information is complete. He shall attach a cover/transmittal letter signed by the PBS-BBS Director or the designated officer and ensure the transmittal of such to the requesting party within 15 working days upon receipt of the request for information.
- **6.** Request for an Extension of Time: If the information requested requires extensive search of the government's office records facilities, examination of voluminous records, the occurrence of fortuitous events or other analogous cases, the FDM should inform the FRO.

The FRO shall inform the requesting party of the extension, setting forth the reasons for such extension. In no case shall the extension exceed twenty (20) working days on top of the mandated fifteen (15) working days to act on the request, unless exceptional circumstances warrant a longer period.

- 7. Notice to the Requesting Party of the Approval/Denial of the Request: Once the DM approved or denied the request, he shall immediately notify the FRO who shall prepare the response to the requesting party either in writing or by email. All actions on FOI requests, whether approval or denial, shall pass through the PBS-BBS Director or his designated officer for final approval.
- **8. Approval of Request:** In case of approval, the FRO shall ensure that all records that have been retrieved and considered be checked for possible exemptions, prior to actual release. The FRO shall prepare the letter or email informing the requesting party within the prescribed period that the request was granted and be directed to pay the applicable fees, if any.
- 9. Denial of Request: In case of denial of the request wholly or partially, the FRO shall, within the prescribed period, notify the requesting party of the denial in writing. The notice shall clearly set forth the ground or grounds for denial and the circumstances on which the denial is based. Failure to notify the requesting party of the action taken on the request within the period herein provided shall be deemed a denial of the request to information. All denials on FOI requests shall pass through the Office of the PBS-BBS Director or to his designated officer.

SECTION 6. REMEDIES IN CASE OF DENIAL

A person whose request for access to information has been denied may avail himself of the remedy set forth below:

- 1. Administrative FOI Appeal to the PBS-BBS Central Appeals and Review Committee: Provided, that the written appeal must be filed by the same requesting party within fifteen (15) calendar days from the notice of denial or from the lapse of the period to respond to the request.
 - a. Denial of a request may be appealed by filing a written appeal to the PBS-BBS Central Appeals and Review Committee within fifteen (15) calendar days from the notice of denial or from the lapse of the period to respond to the request.
 - b. The appeal shall be decided by the PBS-BBS Director upon the recommendation of the Central Appeals and Review Committee within thirty (30) working days from the filing of said written appeal. Failure to decide within the 30-day period shall be deemed a denial of the appeal.
- 2. Upon exhaustion of administrative FOI appeal remedies, the requesting party may file the appropriate judicial action in accordance with the Rules of Court.

SECTION 7. REQUEST TRACKING SYSTEM

The PBS-BBS shall establish a system to trace the status of all requests for information received by it, which may be paper-based, on-line or both.

SECTION 8. FEES

- 1. **No Request Fee.** The PBS-BBS shall not charge any fee for accepting requests for access to information.
- 2. Reasonable Cost of Reproduction and Copying of the Information: The FRO shall immediately notify the requesting party in case there shall be a reproduction and copying fee in order to provide the information. Such fee shall be the actual amount spent by the PBS-BBS in providing the information to the requesting party. The schedule of fees shall be posted by the PBS-BBS.
- 3. **Exemption from Fees:** The PBS-BBS may exempt any requesting party from payment of fees, upon request stating the valid reason why such requesting party shall not pay the fee.

SECTION 9. ADMINISTRATIVE LIABILITY

- **1. Non-compliance with FOI.** Failure to comply with the provisions of this Manual shall be a ground for the following administrative penalties:
 - a. 1st Offense Reprimand;
 - b. 2nd Offense Suspension of one (1) to thirty (30) days; and
 - c. 3rd Offense Dismissal from the service.
- **2. Procedure.** The Revised Rules on Administrative Cases in the Civil Service shall be applicable in the disposition of cases under this Manual.
- **3. Provisions for More Stringent Laws, Rules and Regulations.** Nothing in this Manual shall be construed to derogate from any law, any rules, or regulation prescribed by anybody or PBS-BBS, which provides for more stringent penalties.

RIZAL GIOVANNI P. APORTADERA JR.

Director IV

ANNEX "A"

FOI FREQUENTLY ASKED QUESTIONS

Introduction to FOI

1. What is FOI?

Freedom of Information (FOI) is the government's response to the call for transparency and full public disclosure of information. FOI is a government mechanism which allows Filipino citizens to request any information about the government transactions and operations, provided that it shall not put into jeopardy privacy and matters of national security.

The FOI mechanism for the Executive Branch is enabled via Executive Order No. 2, series of 2016.

2. What is Executive Order No. 2 S. 2016?

Executive Order No. 2 is the enabling order for FOI. EO 2 operationalizes in the Executive Branch the People's Constitutional right to information. EO 2 also provides the State policies to full public disclosure and transparency in the public service.

EO 2 was signed by President Rodrigo Roa Duterte on July 23, 2016.

3. Who oversees the implementation of EO 2?

The Presidential Communications Operations Office (PCOO) oversees the operation of the FOI program. PCOO serves as the coordinator of all government agencies to ensure that the FOI program is properly implemented.

Making a Request

4. Who can make an FOI request?

Any Filipino citizen can make an FOI Request. As a matter of policy, requestors are required to present proof of identification.

5. What can I ask for under EO on FOI?

Information, official records, public records, and, documents and papers pertaining to official acts, transactions or decisions, as well as to government research data used as basis for policy development.

6. What agencies can we ask information?

An FOI request under EO 2 can be made before all government offices under the Executive Branch, including government owned or controlled corporations (GOCCs) and state universities and colleges (SUCs).

FOI requests must be sent to the specific PBS-BBS of interest, to be received by its respective Receiving Officer.

7. How do I make an FOI request?

- a. The requestor is to fill up a request form and submits to the PBS-BBS's Receiving Officer. The Receiving Officer shall validate the request and logs it accordingly on the FOI tracker.
- b. If deemed necessary, the Receiving Officer may clarify the request on the same day it was filed, such as specifying the information requested, and providing other assistance needed by the Requestor.
- c. The request is forwarded to the Decision Maker for proper assessment. The Decision Maker shall check if the PBS-BBS holds the information requested, if it is already accessible, or if the request is a repeat of any previous request.
- d. The request shall be forwarded to the officials involved to locate the requested information.
- e. Once all relevant information is retrieved, officials will check if any exemptions apply, and will recommend appropriate response to the request.
- f. If necessary, the Director of the PBS-BBS shall provide clearance to the response.
- g. The PBS-BBS shall prepare the information for release, based on the desired format of the Requestor. It shall be sent to the Requestor depending on the receipt preference.

8. How much does it cost to make an FOI request?

There are no fees to make a request. But the PBS-BBS may charge a reasonable fee for necessary costs, including costs of printing, reproduction and/or photocopying.

9. What will I receive in response to an FOI request?

You will be receiving a response either granting or denying your request.

If the request is granted, the information requested will be attached, using a format that you specified. Otherwise, the PBS-BBS will explain why the request was denied.

10. How long will it take before I get a response?

It is mandated that all replies shall be sent fifteen (15) working days after the receipt of the request. The PBS-BBS will be sending a response, informing of an extension of processing period no longer than twenty (20) working days, should the need arise.

11. What if I never get a response?

If the PBS-BBS fails to provide a response within the required fifteen (15) working days, the Requestor may write an appeal letter to the Central Appeals and Review Committee within fifteen (15) working days from the lapse of required response period. The appeal shall be decided within thirty (30) working days by the Central Appeals and Review Committee.

If all administrative remedies are exhausted and no resolution is provided, requestors may file the appropriate case in the proper courts in accordance with the Rules of Court.

12. What will happen if my request is not granted?

If you are not satisfied with the response, the Requestor may write an appeal letter to the Central Appeals and Review Committee within fifteen (15) working days from the lapse of required response period. The appeal shall be decided within thirty (30) working days by the Central Appeals and Review Committee.

If all administrative remedies are exhausted and no resolution is provided, requestors may file the appropriate case in the proper courts in accordance with the Rules of Court.

ANNEX "B"

MALACAÑAN PALACE MANILA

BY THE PRESIDENT OF THE PHILIPPINES

EXECUTIVE ORDER NO. 02

OPERATIONALIZING IN THE EXECUTIVE BRANCH THE PEOPLE'S CONSTITUTIONAL RIGHT TO INFORMATION AND THE STATE POLICIES TO FULL PUBLIC DISCLOSURE AND TRANSPARENCY IN THE PUBLIC SERVICE AND PROVIDING GUIDELINES THEREFOR

WHEREAS, pursuant to Article 28, Article II of the 1987 Constitution, the State adopts and implements a policy of full public disclosure of all its transactions involving public interest, subject to reasonable conditions prescribed by law;

WHEREAS, Section 7, Article III of the Constitution guarantees the right of the people to information on matters of public concern;

WHEREAS, the incorporation of this right in the Constitution is a recognition of the fundamental role of free and open exchange of information in a democracy, meant to enhance transparency and accountability in government official acts, transactions, or decisions;

WHEREAS, the Executive Branch recognizes the urgent need to operationalize these Constitutional provisions;

WHEREAS, the President, under Section 17, Article VII of the Constitution, has control over all executive AGENCYs, bureaus and offices, and the duty to ensure that the laws be faithfully executed;

WHEREAS, the Data Privacy Act of 2012 (R.A. 10173), including its implementing Rules and Regulations, strengthens the fundamental human right of privacy, and of communication while ensuring the free flow of information to promote innovation and growth;

NOW, THEREFORE, I, RODRIGO ROA DUTERTE, President of the Philippines, by virtue of the powers vested in me by the Constitution and existing laws, do hereby order:

SECTION 1. Definition. For the purpose of this Executive Order, the following terms shall mean:

(a) "Information" shall mean any records, documents, papers, reports, letters, contracts, minutes and transcripts of official meetings, maps, books, photographs,

data, research materials, films, sound and video recording, magnetic or other tapes, electronic data, computer stored data, any other like or similar data or materials recorded, stored or archived in whatever format, whether offline or online, which are made, received, or kept in or under the control and custody of any government office pursuant to law, executive order, and rules and regulations or in connection with the performance or transaction of official business by any government office.

- (b) "Official record/records" shall refer to information produced or received by a public officer or employee, or by a government office in an official capacity or pursuant to a public function or duty.
- (c) "Public record/records" shall include information required by laws, executive orders, rules, or regulations to be entered, kept and made publicly available by a government office.

SECTION 2. Coverage. This order shall cover all government offices under the Executive Branch, including but not limited to the national government and all its offices, AGENCYs, bureaus, offices, and instrumentalities, including government-owned or -controlled corporations, and state universities and colleges. Local government units (LGUs) are encouraged to observe and be guided by this Order.

SECTION 3. Access to information. Every Filipino shall have access to information, official records, public records and to documents and papers pertaining to official acts, transactions or decisions, as well as to government research data used as basis for policy development.

SECTION 4. Exception. Access to information shall be denied when the information falls under any of the exceptions enshrined in the Constitution, existing law or jurisprudence.

The AGENCY of Justice and the Office of the Solicitor General are hereby directed to prepare an inventory of such exceptions and submit the same to the Office of the President within thirty (30) calendar days from the date of effectivity of this Order.

The Office of the President shall thereafter, immediately circularize the inventory of exceptions for the guidance of all government offices and instrumentalities covered by this Order and the general public.

Said inventory of exceptions shall periodically be updated to properly reflect any change in existing law and jurisprudence and the AGENCY of Justice and the Office of the Solicitor General are directed to update the inventory of exceptions as the need to do so arises, for circularization as hereinabove stated.

SECTION 5. **Availability of SALN**. Subject to the provisions contained in Sections 3 and 4 of this Order, all public officials are reminded of their obligation to file and make available for scrutiny their Statements of Assets, Liabilities and Net Worth (SALN) in accordance with existing laws, rules and regulations, and the spirit and letter of this Order.

SECTION 6. Application and Interpretation. There shall be a legal presumption in favor of access to information, public records and official records. No request for information shall be denied unless it clearly falls under any of the exceptions listed in the inventory or updated inventory of exceptions circularized by the Office of the President provided in the preceding section.

The determination of the applicability of any of the exceptions to the request shall be the responsibility of the Director of the Office, which is in custody or control of the information, public record or official record, or the responsible central or field officer duly designated by him in writing.

In making such determination, the Director of the Office or his designated officer shall exercise reasonable diligence to ensure that no exception shall be used or availed of to deny any request for information or access to public records, or official records if the denial is intended primarily and purposely to cover up a crime, wrongdoing, graft or corruption.

SECTION 7. Protection of Privacy. While providing access to information, public records, and official records, responsible officials shall afford full protection to the right to privacy of the individual as follows:

- (a) Each government office per Section 2 hereof shall ensure that personal information in its custody or under its control is disclosed or released only if it is material or relevant to the subject matter of the request and its disclosure is permissible under this order or existing law, rules or regulations;
- (b) Each government office must protect personal information in its custody or control by making reasonable security arrangements against leaks or premature disclosure of personal information, which unduly exposes the individual, whose personal information is requested, to vilification, harassment or any other wrongful acts.
- (c) Any employee, official or director of a government office per Section 2 hereof who has access, authorized or unauthorized, to personal information in the custody of the office, must not disclose that information except when authorized under this order or *pursuant to* existing laws, rules or regulation.

SECTION 8. People's Freedom to Information (FOI) Manual. For the effective implementation of this Order, every government office is directed to prepare within one hundred twenty (120) calendar days from the effectivity of this Order, its own People's FOI Manual, which shall include among others the following provisions:

- (a) The location and contact information of the Director, regional, provincial, and field offices, and other established places where the public can obtain information or submit requests;
- (b) The person or office responsible for receiving requests for information;
- (c) The procedure for the filing and processing of the request as specified in the succeeding section 9 of this Order.

- (d) The standard forms for the submission of requests and for the proper acknowledgment of requests;
- (e) The process for the disposition of requests;
- (f) The procedure for the administrative appeal of any denial for access to information; and
- (g) The schedule of applicable fees.

SECTION 9. Procedure. The following procedure shall govern the filing and processing of request for access to information:

- (a) Any person who requests access to information shall submit a written request to the government office concerned. The request shall state the name and contact information of the requesting party, provide valid proof of his identification or authorization, reasonably describe the information requested, and the reason for, or purpose of, the request for information: *Provided*, that no request shall be denied or refused acceptance unless the reason for the request is contrary to law, existing rules and regulations or it is one of the exceptions contained in the inventory or updated inventory of exception as hereinabove provided.
- (b) The public official receiving the request shall provide reasonable assistance, free of charge, to enable, to enable all requesting parties and particularly those with special needs, to comply with the request requirements under this Section.
- (c) The request shall be stamped by the government office, indicating the date and time of receipt and the name, rank, title and position of the receiving public officer or employee with the corresponding signature, and a copy thereof furnished to the requesting party. Each government office shall establish a system to trace the status of all requests for information received by it.
- (d) The government office shall respond to a request fully compliant with requirements of sub-section (a) hereof as soon as practicable but not exceeding fifteen (15) working days from the receipt thereof. The response mentioned above refers to the decision of the PBS-BBS or office concerned to grant or deny access to the information requested.
- (e) The period to respond may be extended whenever the information requested requires extensive search of the government office's records facilities, examination of voluminous records, the occurrence of fortuitous cases or other analogous cases. The government office shall notify the person making the request of the extension, setting forth the reasons for such extension. In no case shall the extension go beyond twenty (20) working days unless exceptional circumstances warrant a longer period.
- (f) Once a decision is made to grant the request, the person making the request shall be notified of such decision and directed to pay any applicable fees.

SECTION 10. **Fees**. Government offices shall not charge any fee for accepting requests for access to information. They may, however, charge a reasonable fee to reimburse necessary costs, including actual costs of reproduction and copying of the information required, subject to existing rules and regulations. In no case shall the applicable fees be so onerous as to defeat the purpose of this Order.

SECTION 11. Identical or Substantially Similar Requests. The government office shall not be required to act upon an unreasonable subsequent identical or substantially similar request from the same requesting party whose request from the same requesting party whose request has already been previously granted or denied by the same government office.

SECTION 12. **Notice of Denial**. If the government office decides to deny the request, in whole or in part, it shall as soon as practicable, in any case within fifteen (15) working days from the receipt of the request, notify the requesting party the denial in writing. The notice shall clearly set forth the ground or grounds for denial and the circumstances on which the denial is based. Failure to notify the requesting party of the action taken on the request within the period herein stipulated shall be deemed a denial of the request for access to information.

SECTION 13. Remedies in Cases of Denial of Request for Access to Information.

- (a) Denial of any request for access to information may be appealed to the person or office next higher in the authority, following the procedure mentioned in Section 9 of this Order: Provided, that the written appeal must be filed by the same person making the request within fifteen (15) working days from the notice of denial or from the lapse of the relevant period to respond to the request.
- (b) The appeal be decided by the person or office next higher in authority within thirty (30) working days from the filing of said written appeal. Failure of such person or office to decide within the afore-stated period shall be deemed a denial of the appeal.
- (c) Upon exhaustion of administrative appeal remedies, the requesting part may file the appropriate case in the proper courts in accordance with the Rules of Court.
- **SECTION 14. Keeping of Records.** Subject to existing laws, rules, and regulations, government offices shall create and/or maintain accurate and reasonably complete records of important information in appropriate formats, and implement a records management system that facilitates easy identification, retrieval and communication of information to the public.
- **SECTION 15. Administrative Liability**. Failure to comply with the provisions of this Order may be a ground for administrative and disciplinary sanctions against any erring public officer or employee as provided under existing laws or regulations.
- **SECTION 16**. **Implementing Details**. All government offices in the Executive Branch are directed to formulate their respective implementing details taking into consideration their mandates and the nature of information in their custody or control, within one hundred twenty (120) days from the effectivity of this Order.

SECTION 17. Separability Clause. If any section or part of this Order is held unconstitutional or invalid, the other sections or provisions not otherwise affected shall remain in full force or effect.

SECTION 18. Repealing Clause. All orders, rules and regulations, issuances or any part thereof inconsistent with the provisions of this Executive Order are hereby repealed, amended or modified accordingly: *Provided*, that the provisions of Memorandum Circular No. 78 (s. 1964), as amended, shall not be deemed repealed pending further review.

SECTION 19. **Effectivity**. This Order shall take effect immediately upon publication in a newspaper of general circulation.

DONE, in the City of Manila, this 23rd day of July in the year of our Lord two thousand and sixteen.

(Sgd.) **RODRIGO ROA DUTERTE**President of the Philippines

By the President:

(Sgd.) **SALVADOR C. MEDIALDEA** Executive Secretary

ANNEX "C"

Director of PBS-BBS, FOI Champion/Decision Maker and FOI Receiving Officers of PBS-BBS and Regional Stations/Offices location and contact information

Director of PBS-BBS

Mr. Fernando A. Sanga

Director IV

Presidential Broadcast Service-Bureau of Broadcast Services (PBS-BBS) Office of the Director 4th PIA Media Center Building, Visayas Avenue, Quezon City 1128

Tel. No. 8772-7702

FOI Champion/FOI Decision Maker

Mr. Jun P. Romana

Acting Planning Officer

Presidential Broadcast Service-Bureau of Broadcast Services (PBS-BBS) Office of the Director

 $4^{\rm th}$ PIA Media Center Building, Visayas Avenue, Quezon City 1128 Tel. No. 8772-7721 pbsbbsdirector@gmail.com

Name of Office	Location of FOI Receiving Office	Contact Details	Assigned FOI Receiving Officer/s
PBS-BBS Central Office, Office of the Director	PBS-BBS Central Office, Office of the Director, 4 th floor PIA Media Center Building, Visayas Avenue, Quezon City	The series of th	Ms. Marizel B. Navarro Executive Assistant II

Name of Office	Office Location	Contact Details	Contact Person
		Contact Details	
Luzon Regional Sta			
DWBT Radyo	Butel San Antonio,	(098) 540-5465	Ms. Merlyn C. Gabilo
Pilipinas-Batanes	3900 Basco, Batanes	pbs.batanes@gmail.com	Station Manager
DWPE Radyo	Nursery Compound,	(078) 846-3613	Ms. Sany M. Lopez
Pilipinas -	Bagay Road,	Fax: (078) 846-9118	Station Manager
Tuguegarao	Tuguegarao City,	pbs.tuguegarao@gmail.com	
	Cagayan 3500		
DWFB Radyo	MMSU-CE Campus,	Tel. 077-772-1025, 077-772-0054	Ms. Celestina C. Paz
Pilipinas - Laoag	2400 Laoag City	pbs.laoag@gmail.com	Station Manager
DZRK Radyo	Capitol Compound,	09209514576	Mr. Aquino S. Perez
Pilipinas -Tabuk	3800 Tabuk, Kalinga	pbs.tabuk@gmail.com	Acting Station Manager
	Apayao		
DWFR Radyo	Multi-Purpose Building,	(074) 462-4299	Ms. Donalyn Kawis Balio
Pilipinas-Bontoc	Capitol Site, Bontoc	pbs.bontoc.@gmail.com	Station Manager
DZEQ Radyo	Polo Field 2600 Baguio	074-442-5291	Mr. Alejandrino P. Amadeo
Pilipinas -Baguio	City	fax#074-442-3022	Station Manager
1 0		pbs.baguio@gmail.com	
DZAG Radyo	Don Mariano Marcos,	Tel. 072-710-0564	Ms. Charity J. Frianeza
Pilipinas -Agoo	Memorial State Univ.,	fax# 072-521-0393	Acting Station Manager
F	Agoo, La Union	pbs.agoo@gmail.com	
DZMQ Radyo	Tondalingan Beach,	Tel.075-515-6687	Ms. Maricel M. Fronda
Pilipinas-Dagupan	2400 Dagupan City	Telfax# 075-653-3086	Station Manager
F		pbs.dagupan@gmail.com	
DWRS Radyo	Poblacion , Tayug,	Tel.075-572-6000	Mr. Romeo V. Umipig
Pilipinas-Tayug	Pangasinan	fax#075-572-6001	Acting Station Manager
impilias rayas	1 unguonium	pbs.tayug@gmail.com	
DWLC Radyo	Barangay Federation	Tel. 042-710-2914;	Ms. Maritess J. Balquiedra
Pilipinas-Lucena	Center, Governor's	fax#042-710-6008	Station Manager
Tilpiiido Bacolia	Mansion Compound,	pbs.lucena@gmail.com	
	Lucena	<u> </u>	<
DWRM Radyo	City hall Compound,	telefax# 048-433-7487	Ms. Miriam T. Basig
Pilipinas-Palawan	Puerto Princesa	tel # 084-434-3126	Station Manager
i inpinas i alawan	Tuerto Timecou	pbs.palawan@gmail.com	Station Manager
DWRB Radyo	City Civic Center 4400,	Telfax# 054-811-1402;	Ms. Ariel T. Tirado
Pilipinas-Naga	Naga City	054-475-4643;	Acting Station Manager
i inpinas waga	ivaga City	pbs.naga@gmail.com	Tiethig Station Manager
DZVC Radyo	State College Campus,	(052) 811-1201 / (052) 811-1757	Ms. Arlene O. Bagadiong
Pilipinas-Virac	Virac, Catanduanes	pbs.catanduanes1@gmail.com	Station Manager
Visayas Regional S		pos.catanduanes nagman.com	Station manager
DYOG Radyo	City Hall Compound,	Tel. 055-209-1223,	Mr. Genaro P. Navilon
	6710 Calbayog City	Telfax 055-209-1597,	Acting Station Manager
Pilipinas-Calbayog	07 10 Carbayog City	055-209-1593,	Acting Station Manager
		pbs.calbayog@gmail.com	
DVEQ Dades	Pool oor Circo Ct-	Tel.055-560-9643;	Ms. Ruth R. Abunda
DYES Radyo	Real cor. Cinco Sts.,	055-560-9353,	Acting Station Manager
Pilipinas-Borongan	Capitol Compound,	The state of the s	Acting Station Manager
	6800 Borongan E.	pbs.borongan@gmail.com	
DVOLD 1	Samar	T-1 052 280 2200	Mr. Dindo E. Alaras
DYSL Radyo	SLSAT Campus 6606	Tel. 053-382-3302	
Pilipinas-Sogod	Sogod, Southern Leyte	fax# 053-382-2022	Station Manager
		pbs.sogod@gmail.com	

DYLL Radyo Pilipinas-Iloilo	PNRC Youth Center Bldg. Bonifacio Drive, Iloilo City	Tel.033-336-1011; 033-337-5445 Tel.# 033-338-2231	Ms. Elena C. Pabiona Acting Station Manager
	ů .	fax#033-335-1060 pbs.iloilo@gmail.com	
DYMR Radyo Pilipinas-Cebu	CSCST Compound, MJ Cuenco Avenue, 6000 Cebu City	Tel.# 032-253-4131 Tel. # 032-416-6161 Tel.# 032-253-8030 pbs.cebu@gmail.com	Ms. Juanita M. Antiporta Station Manager
Mindanao Regiona	l Stations/Offices		
DXJS Radyo Pilipinas-Tandag	Capitol Hills, Tandag, Surigao del Sur	Tel.# 086-211-1855 fax# 086-211-3967 pbs.tandag@gmail.com	Mr. Gregorio P. Tataro Station Manager
DXBN Radyo Pilipinas-Butuan	City Hall Compound, 8600 Butuan City	Telefax # 085-342-1424 pbs.butuan@gmail.com	Ms. Ma. Lourdes P. Apego Station Manager
DXRG Radyo Pilipinas-Gingoog	Dugenio St. Gingoog City	Tel. # 088-861-1156 fax# 088-42-7444 pbs.gingoog@gmail.com	Ms. Ofelia S. Mandokita Station Manager
DXIM Radyo Pilipinas-Cagayan de Oro	A. Velez St., Cagayan de Oro	Tel.# 088-872-6358 Fax#088-857-4675 pbs.cagayandeoro@gmail.com	Ms. Mrilou G. Tolentino ActingStation Manager
DXSO Radyo Pilipinas-Marawi	MSU Campus 9700, Marawi City	0928-3046338 pbs.marawi@gmail.com	Ms. Soraida Sarigala Station Managaer
DXRP Radyo Pilipinas-Davao	2F Braveheart MJB Trading, Bldg. #75 McArthur Highway, GSIS Hghts. Matina, Davao City	Tel.082-297-5330-Studio 082-297-7224 Telefax pbs.davao@gmail.com	Ms. Mercedes Gualberto Station Manager
DXMR Radyo Pilipinas- Zamboanga	Baliwasan Chico, 7000 Zamboanga City	Tel. 062-991-1880 062-991-3105 Tel.# 062-926-2930 pbs.zamboanga@gmail.com	Ms. Elsie C. Molina Acting Station Manager
DXSM Radyo Pilipinas-Jolo	Camp Asturias, 7400 Jolo, Sulu	Tel.085-341-8911 loc.2447 Tel.085-341-8911 loc.2447 pbs.jolo@gmail.com	Ms. Nashra G. Anni Station Manager
DXDC Radyo Pilipinas-Tawi-Tawi	7500 Bongao, Tawi- Tawi	Tel # 068-268-1399 pbs.tawi-tawi@gmail.com	Ms. Sharon A. Jamasali Station Manager

ANNEX "D"

LIST OF EXCEPTIONS

Office of the President of the Philippines Malacañana

MEMORANDUM FROM THE EXECUTIVE SECRETARY

TO:

All Heads of Departments, Bureaus and Agencies of the National/Local Governments Including Government-Owned and Controlled Corporations (GOCCs), Government Financial Institutions (GFIs), and All Others Concerned

SUBJECT:

INVENTORY OF EXCEPTIONS TO EXECUTIVE ORDER NO. 2 (S. 2016)

DATE:

24 November 2016

Pursuant to Section 4 of Executive Order (EO) No. 2 (s. 2016), the Office of the President hereby circularizes the inventory of exceptions to the right to access of information, for the guidance of all government offices and instrumentalities covered by EO No. 2 (s. 2016) and the general public. The foregoing list of exceptions shall be without prejudice to existing laws, jurisprudence, rules or regulations authorizing the disclosure of the excepted information upon satisfaction of certain conditions in certain cases, such as the consent of the concerned party or as may be ordered by the courts.

In evaluating requests for information, all heads of offices are enjoined to ensure the meaningful exercise of the public of their right to access to information on public concerns.

For your information and guidance.

(Sgd.) SALVADOR C. MEDIALDEA

Exceptions to Right of Access to Information

For the guidance of all government offices and instrumentalities covered by EO No. 2 (s. 2016) and the general public, the following are the exceptions to the right of access to information, as recognized by the Constitution, existing laws, or jurisprudence:¹

- 1.Information covered by Executive privilege;
- 2. Privileged information relating to national security, defense or international relations;
- 3. Information concerning law enforcement and protection of public and personal safety;
- 4.Information deemed confidential for the protection of the privacy of persons and certain individuals such as minors, victims of crimes, or the accused;
- 5.Information, documents or records known by reason of official capacity and are deemed as confidential, including those submitted or disclosed by entities to government agencies, tribunals, boards, or officers, in relation to the performance of their functions, or to inquiries or investigation conducted by them in the exercise of their administrative, regulatory or quasi-judicial powers;
- 6. Prejudicial premature disclosure;
- 7.Records of proceedings or information from proceedings which, pursuant to law or relevant rules and regulations, are treated as confidential or privileged;
- 8.Matters considered confidential under banking and finance laws, and their amendatory laws; and
- 9. Other exceptions to the right to information under laws, jurisprudence, rules and regulations.
- 10. For the implementation of the exceptions to the right of access to information, the following provide the salient details and legal bases that define the extent and application of the exceptions.
 - 1. Information covered by Executive privilege:
 - a. Presidential conversations, correspondences, and discussions in closed-door Cabinet meetings;² and
 - b. Matters covered by deliberative process privilege, namely:
 - i. advisory opinions, recommendations and deliberations comprising part of a process by which governmental decisions and policies are formulated; intra-

¹ These exceptions only apply to governmental bodies within the control and supervision of the Executive department. Unless specifically identified, these exceptions may be invoked by all officials, officers, or employees in the Executive branch in possession of the relevant records or information.

² This exception may only be invoked by the President and his close advisors. The extent of the privilege is defined by applicable jurisprudence: *Senate v. Ermita*, G.R. No. 169777, 20 April 2006, 488 SCRA 1; *Neri v. Senate Committee on Accountability of Public Officers and Investigations*, G.R. No. 180643, 4 September 2008, 564 SCRA152; *Akbayan v. Aquino*, G.R. No. 170516, 16 July 2008, 558 SCRA 468; and *Chavez v. PCGG*, G.R. No. 130716, 9 December 1998, 299 SCRA 744.

agency or inter-agency recommendations or communications during the stage when common assertions are still in the process of being formulated or are in the exploratory stage; or information pertaining to the decision-making of executive officials;³ and

- ii. information, record or document comprising drafts of decisions, orders, rulings, policy decisions, memoranda, etc.;⁴
- 2. Privileged information relating to national security, defense or international relations:
 - a. Information, record, or document that must be kept secret in the interest of national defense or security;⁵
 - b. Diplomatic negotiations and other information required to be kept secret in the conduct of foreign affairs; ⁶ and
 - c. Patent applications, the publication of which would prejudice national security and interests;⁷
- 3. Information concerning law enforcement and protection of public and personal safety:
 - a. Investigation records compiled for law enforcement purposes or information which if written would be contained in such records, but only to the extent that the production of such records or information would
 - i. interfere with enforcement proceedings;
 - ii. deprive a person of a right to a fair trial or an impartial adjudication;
 - iii. disclose the identity of a confidential source and in the case of a record compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, confidential information furnished only by the confidential source; or
 - iv. unjustifiably disclose investigative techniques and procedures;8

³ Akbayan v. Aquino, supra; Chavez v. NHA, G.R. No. 164527, 15 August 2007; and Chavez v. PCGG, supra. The privilege of invoking this exception ends when the executive agency adopts a definite proposition (Department of Foreign Affairs v. BCA International Corp., G.R. No. 210858, 20 July 2016).

⁴ Section 3(d) Rule IV, Rules Implementing the Code of Conduct and Ethical Standards for Public Officials and Employees (Rules on CCESPOE). Drafts of decisions, orders, rulings, policy decisions, memoranda, and the like, such as resolutions prepared by the investigating prosecutor prior to approval for promulgation and release to parties [Revised Manual for Prosecutors of the Department of Justice (DOJ)] are also covered under this category of exceptions.

⁵ Almonte v. Vasquez, G.R. No. 95367, 23 May 1995, 244 SCRA 286; Chavez v. PCGG, supra; Legaspi v. Civil Service Commission, L-72119, 29 May 1987, 150 SCRA 530; Chavez v. NHA, supra; Neri v. Senate, supra; Chavez v. Public Estates Authority, G.R. No. 133250, 9 July 2002, 384 SCRA 152; and Section 3(a), Rule IV, Rules on CCESPOE. This exception generally includes matters classified under Memorandum Circular (MC) No. 78, as amended by MC No. 196 as "Top Secret," "Secret," "Confidential," and "Restricted."

⁶ Akbayan v. Aquino, supra; Section 3(a) Rule IV, Rules on CCESPOE. This privilege may be invoked by the Department of Foreign Affairs and other government bodies involved in diplomatic negotiations.

⁷ The applicability of this exception is determined by the Director General of the Intellectual Property Office and subject to the approval of the Secretary of the Department of Trade and Industry. Section 44.3 of the *Intellectual Property Code* (RA No. 8293,as amended by RA No. 10372).

⁸ Section 3(f), Rule IV, Rules on CCESPOE; Chavez v. PCGG, supra. May be invoked by law enforcement agencies.

- a. Informer's privilege or the privilege of the Government not to disclose the identity of a person or persons who furnish information of violations of law to officers charged with the enforcement of law;⁹
- b. When disclosure of information would put the life and safety of an individual in imminent danger; 10
- c. Any information given by informants leading to the recovery of carnapped vehicles and apprehension of the persons charged with carnapping;¹¹ and
- d. All proceedings involving application for admission into the Witness Protection Program and the action taken thereon;¹²
- 4. Information deemed confidential for the protection of the privacy of persons and certain individuals such as minors, victims of crimes, or the accused. These include:
 - a. Information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy, ¹³ personal information or records, ¹⁴ including sensitive personal information, birth records, ¹⁵ school records, ¹⁶ or medical or health records; ¹⁷

Sensitive personal information as defined under the *Data Privacy Act of 2012* refers to personal information:¹⁸

- (1) about an individual's race, ethnic origin, marital status, age, color, and religious, philosophical or political affiliations;
- (2) about an individual's health, education, genetic or sexual life of a person, or to any proceeding for any offense committed or alleged to have been committed by such person, the disposal of such proceedings, or the sentence of any court in such proceedings;

⁹ Akbayan v. Aquino, supra;and Section 51, Human Security Act of 2007 (RA No. 9372). May be invoked by law enforcement agencies.

¹⁰ Section 3(b), Rule IV, Rules on CCESPOE.

¹¹ Section 19, New Anti Carnapping Act of 2016(RA No. 10883). May be invoked by law enforcement agencies.

¹² Section 7, Witness Protection, Security and Benefit Act (RA No. 6981).

¹³ Section 3(e), Rule IV,Rules on CCESPOE.

¹⁴ Sections 8 and 15, *Data Privacy Act of 2012* (RA No. 10173); *Personal information* refers to any information whether recorded in a material form or not, from which the identity of an individual is apparent or can be reasonably and directly ascertained by the entity holding the information, or when put together with other information would directly and certainly identify an individual [Section 3(g), *Data Privacy Act of 2012*]; Article 26, Civil Code.May be invoked by National Privacy Commission and government personal information controllers.

¹⁵ Article 7, The Child and Youth Welfare Code[Presidential Decree (PD) No. 603].

¹⁶ Section 9(4), Education Act of 1982 [Batas Pambansa (BP) Blg. 232].

Medical and health records are considered as sensitive personal information pursuant to Section 3(I)(2), *Data Privacy Act of 2012*; See also Department of Health-Department of Science and Technology (DOST)-Philippine Health Insurance Corporation Joint Administrative Order No. 2016-0002 (Privacy Guidelines for the Implementation of the Philippine Health Information Exchange)

¹⁸ Section 3(I), Data Privacy Act of 2012.

- (3) issued by government agencies peculiar to an individual which includes, but not limited to, social security numbers, previous or current health records, licenses or its denials, suspension or revocation, and tax returns; and
- (4) specifically established by an executive order or an act of Congress to be kept classified.

However, personal information may be disclosed to the extent that the requested information is shown to be a matter of public concern or interest, shall not meddle with or disturb the private life or family relations of the individual ¹⁹ and is not prohibited by any law or regulation. Any disclosure of personal information shall be in accordance with the principles of transparency, legitimate purpose and proportionality.²⁰

Disclosure of personal information about any individual who is or was an officer or employee of a government institution shall be allowed, provided that such information relates to the position or functions of the individual, including: (1) the fact that the individual is or was an officer or employee of the government institution; (2) the title, business address and office telephone number of the individual; (3) the classification, salary range and responsibilities of the position held by the individual; and (4) the name of the individual on a document prepared by the individual in the course of employment with the government;²¹

- b. Source of any news report or information appearing innewspapers, magazines or periodicals of general circulation obtained in confidence;²² and
- c. Records of proceedings and processes deemed confidential by law for the privacy and/or protection of certain individuals, such as children, victims of crime, witnesses to a crime or rehabilitated drug offenders, including those pertaining to the following:
 - (1) records of child and family cases;²³
 - (2) children in conflict with the law from initial contact until final disposition of the case:²⁴
 - (3) a child who is a victim of any offense under the Anti-Child Pornography Act of 2009, including the name and personal circumstances of the child, or the child's immediate family, or any other information tending to establish the child's identity;²⁵
 - (4) a child witness, who is a victim of a crime, an accused of a crime, or a witness to a crime, including the name, address, telephone number, school, or other identifying information of a child or an immediate family of the child;²⁶

²⁰ Section 11, Data Privacy Act of 2012.

¹⁹ Article 26(2), Civil Code.

²¹ Section 4, Data Privacy Act of 2012.

²² An Act to Exempt the Publisher, Editor or Reporter of any Publication from Revealing the Source of Published News or Information Obtained in Confidence (RA No. 53), as amended by RA No. 1477. May be invoked by government newspapers.

²³ Section 12, Family Courts Act of 1997 (RA Act No. 8369).

²⁴ Section 43, *Juvenile Justice and Welfare Act of 2006* (RA No. 9344).

²⁵ Section 13, Anti-Child Pornography Act of 2009(RA No. 9775).

²⁶ Section 31, A.M. No. 00-4-07-SC, Re: Proposed Rule on Examination of a Child Witness.

- (5) cases involving violence against women and their children, including the name, address, telephone number, school, business, address, employer, or other identifying information of a victim or an immediate family member;²⁷
- (6) trafficked persons, including their names and personal circumstances, or any other information tending to establish the identity of the trafficked person:²⁸
- (7) names of victims of child abuse, exploitation or discrimination;²⁹
- (8) disclosure which would result in undue and sensationalized publicity of any case involving a child in conflict with the law, child abuse, or violation of anti-trafficking of persons;³⁰
- (9) records, documents and communications of proceedings involving domestic and inter-country adoptions, including the identity of the child, natural parents and adoptive parents:³¹
- (10) names of students who committed acts of bullving or retaliation:³²
- (11) first time minor (drug) offenders under suspended sentence who comply with applicable rules and regulations of the Dangerous Drugs Board and who are subsequently discharged; judicial and medical records of drug dependents under the voluntary submission program; and records of a drug dependent who was rehabilitated and discharged from treatment and rehabilitation centers under the compulsory submission program, or who was charged for violation of Section 15 (use of dangerous drugs) of the Comprehensive Dangerous Drugs Act of 2002, as amended; and 33
- (12) identity, status and medical records of individuals with Human Immunodeficiency Virus (HIV), as well as results of HIV/Acquired Immune Deficiency Syndrome (AIDS) testing;³⁴
- 5. Information, documents or records known by reason of official capacity and are deemed as confidential, including those submitted or disclosed by entities to government agencies, tribunals, boards, or officers, in relation to the performance of their functions, or to inquiries or investigation conducted by them in the exercise of their administrative, regulatory or quasi-judicial powers, such as but not limited to the following:
 - a. Trade secrets, intellectual property, business, commercial, financial and other proprietary information;³⁵

²⁷ Section 44, *Anti-Violence Against Women and their Children Act of 2004* (RA No. 9262); and *People v. Cabalquinto*, G.R. No. 167693, 19 September 2006.

²⁸ Section 7, Anti-Trafficking in Persons Act of 2003 (RA No. 9208), as amended by RA No. 10364.

²⁹ Section 29, *Special Protection of Children Against Abuse, Exploitation and Discrimination Act* (RA No. 7610).

³⁰ Section 14, Juvenile Justice and Welfare Act of 2006; Section 7, Anti-Trafficking in Persons Act of 2003, as amended; and Section 29, Special Protection of Children Against Abuse, Exploitation and Discrimination Act.

³¹ Section 15, *Domestic Adoption Act of 1998* (RA No. 8552) and Section 43, IRR of RA No. 8552; Sections 6 and 16(b), *Inter-Country Adoption Act of 1995* (RA No. 8043) and Sections 53, 54 and 55 of IRR of RA No. 8043.

³² Section 3(h), Anti-Bullying Act (RA No. 10627).

³³ Sections 60, 64 and 67, Comprehensive Dangerous Drugs Act of 2002 (RA No. 9165).

³⁴ Sections 2(b), 18, 30, and 32, *Philippine AIDS Prevention and Control Act of 1998* (RA No. 8504).

³⁵ Sections 45, 106.1, and 150.2, *The Intellectual Property Code* (RA No. 8293, as amended by RA No. 10372); Section 66.2, *Securities Regulation Code* (RA No. 8799); DOST Administrative Order No. 004-16; Section 142, *The Corporation Code* (BP Blg. 68); Section 34, *Philippine Competition Act* (RA No. 10667); Sections 23 and 27 (c), *The New Central Bank Act* (RA No. 7653); *Anti-Money Laundering Act* (RA No. 9160); Section 18, *Strategic Trade Management Act* (RA No. 10697); Sections 10 and 14, *Safeguard Measures Act* (RA No. 8800); Section 12, *Toxic Substances and Hazardous and Nuclear Wastes Control Act of 1990* (RA No. 6969); Article 290, *Revised Penal*

- b. Data furnished to statistical inquiries, surveys and censuses of the Philippine Statistics Authority (PSA);³⁶
- c. Records and reports submitted to the Social Security System by the employer or member;³⁷
- d. Information gathered from HIV/AIDS contact tracing and all other related health intelligence activities;³⁸
- e. Confidential information submitted to the Philippine Competition Commission prohibited from disclosure by law, including the identity of the person who provided the information under condition of anonymity;³⁹
- f. Applications and supporting documents filed pursuant to the *Omnibus Investments Code of 1987*;⁴⁰
- g. Documents submitted through the Government Electronic Procurement System;⁴¹
- h. Information obtained from accessing any electronic key, electronic data message, or electronic document, book, register, correspondence, information or other material pursuant to any powers conferred under the *Electronic Commerce Actof 2000*;⁴²
- i. Any confidential information supplied by the contractors in mineral agreements, and financial or technical assistance agreements pursuant to the *Philippine Mining Act of 1995* and its Implementing Rules and Regulations (IRR), during the term of the project to which it relates;⁴³
- j. Information received by the Department of Tourism (DOT) in relation to the accreditation of accommodation establishments (such as hotels and resorts) and travel and tour agencies;⁴⁴

Code; Section 10.10, Rule 10, 2012 Revised IRR of Build-Operate-Transfer Law (RA No. 6957); and Revised Philippine Ports Authority Manual of Corporate Governance.

³⁶ Section 26, *Philippine Statistical Act of 2013* (RA No. 10625); and Section 4, *Commonwealth Act No. 591*. May be invoked only by the PSA.

³⁷ Section 24(c), Social Security Act of 1997 (RA No. 1161, as amended by RA No. 8282).

³⁸ Section 29, Philippine AIDS Prevention and Control Act of 1998 (RA No. 8504).

³⁹ Section 34, *Philippine Competition Act* (PCA), RA No. 10667 and Section 13, Rule 4 of the IRR of PCA. This exception can be invoked by the Philippine Competition Commission subject to well-defined limitations under the PCA.

⁴⁰ Section 81, EO No. 226 (s. 1987), as amended.

⁴¹ Section 9, Government Procurement Reform Act (RA No. 9184).

⁴² Section 32, *Electronic Commerce Act of 2000* (RA No. 8792).

⁴³ Section 94(f), *Philippine Mining Act of 1995* (RA No. 7942).

⁴⁴ Section 1, Rule IX, DOT MC No. 2010-02 (Rules and Regulations to Govern, the Accreditation of Accommodation Establishments – Hotels, Resorts and Apartment Hotels); and Section 23, DOT MC No. 2015-06 (Revised Rules and Regulations to Govern the Accreditation of Travel and Tour Agencies).

- k. The fact that a covered transaction report to the Anti-Money Laundering Council (AMLC) has been made, the contents thereof, or any information in relation thereto;⁴⁵
- 1. Information submitted to the Tariff Commission which is by nature confidential or submitted on a confidential basis;⁴⁶
- m. Certain information and reports submitted to the Insurance Commissioner pursuant to the *Insurance Code*;⁴⁷
- n. Information on registered cultural properties owned by private individuals;⁴⁸
- o. Data submitted by a higher education institution to the Commission on Higher Education (CHED);⁴⁹and
- p. Any secret, valuable or proprietary information of a confidential character known to a public officer, or secrets of private individuals;⁵⁰
- 6. Information of which a premature disclosure would:
 - a. in the case of a department, office or agency which agency regulates currencies, securities, commodities, or financial institutions, be likely to lead to significant financial speculation in currencies, securities, or commodities, or significantly endanger the stability of any financial institution; or
 - b. be likely or significantly frustrate implementation of a proposed official action, except such department, office or agency has already disclosed to the public the content or nature of its proposed action, or where the department, office or agency is required by law to make such disclosure on its own initiative prior to taking final official action on such proposal.⁵¹
- 7. Records of proceedings or information from proceedings which, pursuant to law or relevant rules and regulations, are treated as confidential or privileged, including but not limited to the following:

⁴⁵ Section 9(c), *Anti-Money Laundering Act of 2001*, as amended. May be invoked by AMLC, government banks and its officers and employees.

⁴⁶ Section 10, Safeguard Measures Act.

⁴⁷ Section 297 in relation with Section 295 and Section 356, *The Insurance Code*(as amended by RA No. 10607).

⁴⁸ Section 14, *National Cultural Heritage Act of 2009* (RA No. 10066).

⁴⁹ CHED Memorandum Order No. 015-13, 28 May 2013.

Articles 229 and 230, Revised Penal Code; Section 3(k), Anti-Graft and Corrupt Practices Act (RA No. 3019); Section 7(c), Code of Conduct and Ethical Standards for Public Officials and Employees(RA No. 6713); Section 7, Exchange of Information on Tax Matters Act of 2009 (RA No. 10021); and Section 6.2, Securities Regulation Code (RA No. 8799).

⁵¹ Section 3(g), Rule IV, Rules on CCESPOE.

- b. Mediation and domestic or international arbitration proceedings, including records, evidence and the arbitral awards, pursuant to the *Alternative Dispute Resolution Act of 2004*;⁵²
- c. Matters involved in an Investor-State mediation;⁵³
- d. Information and statements made at conciliation proceedings under the *Labor Code*;⁵⁴
- e. Arbitration proceedings before the Construction Industry Arbitration Commission (CIAC);⁵⁵
- f. Results of examinations made by the Securities and Exchange Commission (SEC) on the operations, books and records of any corporation, and all interrogatories propounded by it and the answers thereto;⁵⁶
- g. Information related to investigations which are deemed confidential under the Securities Regulations Code;⁵⁷
- h. All proceedings prior to the issuance of a cease and desist order against pre-need companies by the Insurance Commission;⁵⁸
- i. Information related to the assignment of the cases to the reviewing prosecutors or the undersecretaries in cases involving violations of the *Comprehensive Dangerous Drugs Act of 2002*;⁵⁹
- j. Investigation report and the supervision history of a probationer; ⁶⁰
- k. Those matters classified as confidential under the Human Security Act of 2007;⁶¹
- 1. Preliminary investigation proceedings before the committee on decorum and investigation of government agencies; 62 and
- m. Those information deemed confidential or privileged pursuant to pertinent rules and regulations issued by the Supreme Court, such as information on disbarment

⁵⁵ Section 7.1, Rule 7, CIAC Revised Rules of Procedure Governing Construction Arbitration.

⁵² Sections 9, 23 and 33, *Alternative Dispute Resolution (ADR) Act of 2004* (RA No. 9285); and DOJ Circular No. 98 (s. 2009) or the IRR of the ADR Act.

⁵³ Article 10, International Bar Association Rules for Investor-State Mediation.

⁵⁴ Article 237, *Labor Code*.

⁵⁶ Section 142, *Corporation Code*. May be invoked by the SEC and any other official authorized by law to make such examination.

⁵⁷ Sections 13.4, 15.4, 29.2 (b), and 64.2 of the Securities Regulation Code.

⁵⁸ Section 53(b)(1) of the *Pre-Need Code of the Philippines*. The confidentiality of the proceedings is lifted after the issuance of the cease and desist order.

⁵⁹ DOJ Department Circular No. 006-16 (No. 6), 10 February 2016. DOJ Department Circular No. 006-16 (No. 6), 10 February 2016

⁶⁰ Section 17, *Probation Law of 1976* [PD No. 968 (s.1976)].

⁶¹ Sections 9, 13, 14, 29, 33 and 34, *Human Security Act of 2007*(RA No. 9372).

⁶² Section 14, Civil Service Commission Resolution No. 01-0940.

proceedings, DNA profiles and results, or those ordered by courts to be kept confidential;⁶³

- 8. Matters considered confidential under banking and finance laws and their amendatory laws, such as:
 - a. RA No. 1405 (Law on Secrecy of Bank Deposits);
 - b. RA No. 6426 (Foreign Currency Deposit Act of the Philippines) and relevant regulations;
 - c. RA No. 8791 (The General Banking Law of 2000);
 - d. RA No. 9160 (Anti-Money Laundering Act of 2001); and
 - e. RA No. 9510 (Credit Information System Act);
- 9. Other exceptions to the right to information under laws, jurisprudence, rules and regulations, such as:
 - a. Those deemed confidential pursuant to treaties, executive agreements, other international agreements, or international proceedings, such as:
 - (1) When the disclosure would prejudice legitimate commercial interest or competitive position of investor-states pursuant to investment agreements;⁶⁴
 - (2) Those deemed confidential or protected information pursuant to United Nations Commission on International Trade Law Rules on Transparency in Treaty-based Investor-State Arbitration and Arbitration Rules (UNCITRAL Transparency Rules);⁶⁵ and
 - (3) Refugee proceedings and documents under the 1951 Convention Relating to the Status of Refugees, as implemented by DOJ Circular No. 58 (s. 2012);
 - b. Testimony from a government official, unless pursuant to a court or legal order;66
 - c. When the purpose for the request of Statement of Assets, Liabilities and Net Worth is any of the following:
 - (1) any purpose contrary to morals or public policy; or
 - (2) any commercial purpose other than by news and communications media for dissemination to the general public;⁶⁷

⁶³ Section 18, Rule 139-B and Section 24, Rule 130 of the Rules of Court; and Section 11 of the Rule on DNA Evidence, A.M. No. 06-11-5-SC

Examples: Article 20 (2), ASEAN Comprehensive Investment Agreement; Article 15 (2) Agreement on Investment under the Framework Agreement on the Comprehensive Economic Cooperation between the ASEAN and the Republic of India; and Article 15 (2) of the Agreement on Investment under the Framework Agreement on the Comprehensive Economic Cooperation among the Government of the Member Countries of the ASEAN and the Republic of Korea.

⁶⁵ Article 7, UNCITRAL Transparency Rules.

⁶⁶ Senate v. Neri, supra; Senate v. Ermita, supra.

⁶⁷ Section 8(D), Code of Conduct and Ethical Standards for Public Officials and Employees.

- d. Lists, abstracts, summaries of information requested when such lists, abstracts or summaries are not part of the duties of the government office requested;⁶⁸
- e. Those information and proceedings deemed confidential under rules and regulations issued by relevant government agencies or as decided by the courts;⁶⁹
- f. Requested information pertains to comments and disclosures on pending cases in judicial proceedings;⁷⁰and
- g. Attorney-client privilege existing between government lawyers and their client.⁷¹

⁶⁸ Belgica v. Ochoa, G.R. No. 208566, 19 November 2013; and Valmonte v. Belmonte Jr., G.R. No. 74930, 13 February 1989, 252 Phil. 264.

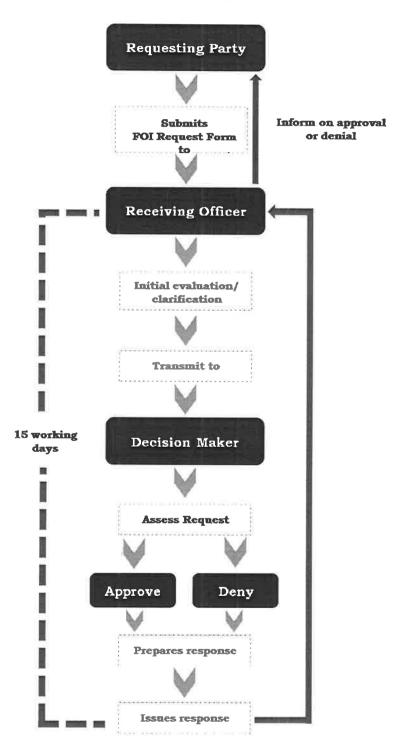
Examples: 2012 Guidelines and Procedures in the Investigation and Monitoring of Human Rights Violations and Abuses and the Provision of CHR Assistance; Government Service Insurance System's Rules of Procedure of the Committee on Claims; National Labor Relations Commission Resolution No. 01-02, Amending Certain Provisions of the New Rules of Procedure of the National Labor Relations Commission, 08 March 2002; Department of Agrarian Reform MC No. 07-11, 19 July 2011; Department of Social Welfare and Development MC No. 021-12, 16 October 2012; and Section 42, *Investment Company Act* (RA No. 2629); When the information requested is not a matter of public concern or interest as decided in *Hilado v. Judge Amor A. Reyes*, G.R. No. 163155, 21 July 2006.

⁷⁰ Romero v. Guerzon, G.R. No. 211816, 18 March 2015.

⁷¹ Canon 21 of the Code of Professional Responsibility.

ANNEX "E"

Flow Chart



ANNEX E - 2 & E -3"

FOI Registry Instructional Note for Completion

Not for Circulation or Citation

An FOI Registry has been developed by the Presidential Communications Operations Office to standardize the collection and reporting of FOI requests across the Executive Branch. All government offices under the Executive Branch as defined under Section 2 of Executive Order No. 2 are requested to complete the attached FOI Registry recording all FOI requests received and submitting the FOI Registry every quarter to PCOO thru email at **foi.pco@gmail.com** and **foi@pco.gov.ph** commencing from March 2016.

I. Objectives

The completion and timely quarterly submission of the FOI Registry by all executive agencies will allow us to accomplish the following:

- 1. To monitor compliance and performance of agencies including generating statistics for public disclosure on the program;
- 2. To compute the implementation and operational budget required to support the effective implementation of FOI program.

The FOI Registry will be provided as an interim method to standardize reporting across the Executive Branch. In time, an online electronic record management system will be developed and available to all agencies.

II. Guidelines to Using the FOI Registry

- The FOI Registry is in Excel (v17) and will work in all licensed versions of the stated software.
- It is advised that when completing the FOI Registry the PBS-BBS has all FOI completed applications close at hand. It is noted all information in the FOI application must be reflected and entered into the FOI Registry.
- In the FOI Registry, many of the fields are mandatory, requiring them to be completed. In some cases some fields are conditional (i.e., for instance, fields marked 'Others').
- The FOI Registry must be completed sequentially from up going down. This means that in cases where you have been unable to proceed to the next field (i.e., unable to enter a value or fill it out), you had missed a mandatory field that had come previously. To assist you, there is a field labeled 'Last Accomplished Field' which will inform you of the last cell you were able to accomplish. We would advise you go back to it and see what fields you had left empty right before the field you had last filled out.
- The FOI Registry will automatically 'Flag' requests (by coloring the entire column) that meet the following conditions:
 - o Requests with extension that have gone over 15 days from date of request received

- o Requests with extension that have gone over 35 days since the day of request for extension was made
- Requests with awaiting confirmation that have gone over 60 days since the day of awaiting confirmation was started
- A request is only marked as Finished', reflected on the Last Accomplished Field, once the Request Status is changed to either Successful, Partially Successful, or Denied.
- As much as possible, leave no fields blank. If possible, place N/A if no other information was supplied, or if it does not apply.
- It is recommended that the same person who accepts the request be the same who fills out his/her offline version of the FOI Registry. If internet connection is sufficient, the PBS-BBS may also choose to have an online version that all ROs and DMs will have access to.
- Please ensure to **SAVE** the file constantly.
- Submit only the compiled Registry in for your PBS-BBS in .xls or .xlsx format to the PCOO on the following dates: March 31, June 30, September 30, December 31 (or before), or as necessary. Submissions must be made to <email> or sent to <address>.

III. Step-by-Step Instructions

- 1. Open the file for the FOI Registry on your local computer.
- 2. Start from the row labeled 'Request Type'. This pertains to whether or not the application you had received was entered into the eFOI or just processed in the Standard manner.
- 3. Work on the rows from up going down. Move to the row labeled 'Title of Document'. This should have the details as to what document the Requestor is looking for. Otherwise, it would be recommended that the document be Denied by the Receiving Officer for Incomplete Information.
- 4. Select the 'Request Status', the status the request is under at the point of you filling out the Registry. This must be updated as the request progresses through the queue.
- 5. Fill out 'Government PBS-BBS' and choose the government PBS-BBS the Requestor is requesting the information from.
 - a. If applicable, choose the **Connected PBS-BBS** to that government PBS-BBS, e.g Department of Justice – Public Attorneys Office.
 - b. If not applicable, choose the acronym supplied for the main PBS-BBS, e.g. Department of Justice - DOJ.
 - c. If the Connected PBS-BBS is not listed, choose Others. Fill out the cell labeled 'Others (PBS-BBS)' following that with the name of the specific Connected PBS-BBS.

- 6. Fill out the full **Name** of the Requestor.
- 7. Fill out his/her preferred **Mode of Communication**'. This pertains to how you will communicate with the Requestor regarding his/her FOI request.
- 8. Fill out his/her preferred **Mode of Receiving Reply**'. This pertains to how the Requestor will be receiving the documents he/she had requested.
- 9. Fill out the Requestor's contact details:
 - a. **Landline phone** Only mandatory if it had been chosen as the option for 'Mode of Communication. Enter it in the format of YYXXXXXXX, wherein YY is the area code and XXXXXXXX is the phone number.
 - b. **Mobile phone** This field is mandatory and must be entered in the format of 9XXXXXXXX, wherein XXXXXXXXX is the 10-digit mobile number.
 - c. **Email** Only mandatory if it had been chosen as the option for 'Mode of Receiving Reply' or 'Mode of Receiving Communication'.
 - d. Fax Only mandatory if it had been chosen as the option for 'Mode of Receiving Reply'. Enter it in the format of YYXXXXXXX, wherein YY is the area code and XXXXXXXX is the phone number.
- 10. Fill out the Requestor's postal details:
 - a. Province You will be able to choose from a drop down list based on the PSGC.
 - b. **City/Municipality** Depending on the choice of province, you will be able to choose from a list as stated in the PSGC.
 - c. **Local Address** This should state the Requestor's Apt Number/House Number, Street Number, Street, Barangay/Village.
- 11. All Requestors should have presented proof of ID upon application and this should have been checked and verified by the Receiving Officer. Select the type of ID that had been verified under **Valid ID Given**'.
 - a. If it had been under Others, fill out the type of ID on the field marked 'Others (Valid ID)'.
- 12. Fill out the details on the citizen's request:
 - a. Document Type Requested Select the type of document being searched for,
 - b. **Start of Period Requested** The start date of the coverage of the information the Requestor is looking for, in the format MM/DD/YYYY. Should it pertain to just a year, e.g. 2010, the information must be written as 01/01/2010 to 12/31/2010. If for a period spanned by months, e.g. May 2014 to July 2015, the entry must be made out as 05/01/2014, and the End of Period as 07/31/2015.
 - c. End of Period Requested
 - d. **Purpose** Select the purpose for the request. If 'Others' was chosen, ensure that 'Others (Purpose)' is also filled out.

- e. **Date Request Received** This should indicate the exact date and time that the request was received by the Receiving Officer, based on the stamp on the physical application.
- f. **Date Request Finished** This should indicate the exact date and time that the request was finished by the Decision Maker, as recorded in the eFOI, or as reported manually. This should be one of the last fields you should be filling it out.
- g. **Compute Time Lapse/Days** This is an automatic computation that will be filled out once both Date Request Started and Date Request Finished have been stated.
- 13. Fill out the rest of the fields, according to how the request progresses. You may want to base your information from the eFOI, if applicable, or if you would have an internal manual tracker in your PBS-BBS.
 - a. **Extension Requested** This pertains to whether or not the Decision Maker applied an Extension to the request. If YES is selected, see the points below:
 - b.
- I. Date Extension Requested If an extension was requested, a request would not be deemed as late if not finished within 15 working days. The request would only be late if the request would still not be declared as either Successful, Partially Successful, or Denied if beyond 35 working days after indicated on Date Request Received.
- II. **Reason for Extension** Select the reason as to why the Decision Maker had applied an Extension to the request.
- c. If the Status is 'Awaiting Clarification', see the points below:
 - I. Date Requested_Awaiting Clarification This pertains to the date the Decision Maker had requested for the citizen to clarify his/her request, written in the format of MM/DD/YYYY. The Receiving Officer must always be made immediately aware of any changes to Awaiting Clarification, so he/she may contact the Requestor. The Requestor is only allowed a 60 working-day period to reply before his request status can be changed to Closed.
 - II. **Date Closed_Awaiting Clarification** This refers to the date, written in the format of MM/DD/YYYY, that the Requestor has sent his reply and the Decision Maker declares the status can be changed.
 - III. **Time Lapse_Awaiting Clarification** This automatically computes the time between Date Closed_Awaiting Clarification and Date Requested_Awaiting Clarification.
- d. Reason for Denying Request This only applies if the Status was 'Denied'. Select the reason from the drop down list. If it was due to an Exception, please see below:
 - Exception Applied Select whether or not an exception was applied to the request.

- II. **Exception Type Applied** Select the type of exception that was applied.
- 14. Fill out your details. These should be filled out last, after changing the Request Status to Successful, Partially Successful, or Denied.
 - a. **Officer Position** Select your position, either Receiving Officer or Decision Maker.
 - b. Officer Name
- 15. Save your work.

ANNEX A. FOI Registry Fields

Variable	Туре	Field Requirement	Description
#		Automatic	Sequential Number
Last Accomplished Field		Automatic	States the field the user last filled out
Request Type	Dropdown	Mandatory	Whether or not the request was logged on to the eFOI
Title of Document	Open Field	Mandatory	Title of Document being searched for.
Request Status	Dropdown	Mandatory	Status of Request
Internal Tracking Number	Open Field	Mandatory	Internal PBS-BBS ID Number
Government PBS-BBS	Dropdown	Mandatory	List of main national executive government agencies, and agencies who are part of the initial pilot of the eFOI
Connected/Sub-PBS-BBS	Conditional Dropdown	Mandatory	List of sub-agencies or connected agencies
Others (PBS-BBS)	Open Field		Mandatory if 'Others' is selected as a choice

Variable	Туре	Field Requirement	Description
Name of Requestor	Open Field	Mandatory	Name of Requestor
Mode of Communication	Dropdown	Mandatory	Preferred mode of receiving notifications from Receiving Officer
Mode of Receiving Reply	Dropdown	Mandatory	Preferred mode of receiving requested document
Landline Number	Open Field	Mandatory if 'Landline' is selected as a choice for Mode of	The Requestor's landline number, which should conform to the format YY-XXXXXXX (for landline number), wherein YY is the

		Communication	area code and XXXXXXX is the phone number, e.g 02-1234567
Mobile Number	Open Field	Mandatory	The Requestor's mobile number which should conform to the format 9XXXXXXXXX (10 characters for mobile), e.g. 9151234567
Email Address	Open Field	Mandatory if 'email' is selected as a choice for Mode of Receiving Reply	The Requestor's email address
Fax	Open Field	Mandatory if 'fax' is selected as a choice for Mode of Receiving Reply	The Requestor's fax number
Province	Dropdown	Mandatory	The Requestor's Province, among the 81 choices (plus Cotabato City and Isabela City), as listed in the PSGC
City/Municipality	Dropdown	Mandatory	The Requestor's City/Municipality, as listed in the PSGC and based on the Province chosen

Variable		Туре	Field Requirement	Description
Local Address		Open Field	Mandatory	The Requestor's local address, e.g. Apartment Number, House Number, Street, Barangay, Subdivision
Valid ID Given		Dropdown	Mandatory	List of national government IDs that the Requestor may present as proof o f his identity
Others (Valid ID)		Open Field	Mandatory if 'Others' is selected as a choice	Any other valid government-issued ID with photo and signature that was presented
Document Requested	Туре	Dropdown	Mandatory	Type of Document being searched for

Variable	Туре	Field Requirement	Description
End of Period Requested	Open Field	Mandatory	End of time period covered by document following the format MM/DD/YYYY. If requesting for the entire year, the entry must be made out as 01/01/YYYY. If for a period spanned by months, e.g. May 2014 to July 2015, the entry must be made out as MM/01/YYYY, and the End of Period as MM/30 or 31/YYYY.
Purpose	Open Field	Mandatory	Purpose for request, as indicated by the dropdown list.
Others (Purpose)	Open Field	Mandatory if 'Others' is selected as a choice	Other purposes as apply
Date Request Received	Open Field	Mandatory	Date request was received
Date Request Finished	Open Field	Mandatory	Date request was finished
Compute Time Lapse/Days	Formula excluding weekends	Automatic	Number of days since request was received and was finished
Extension Requested	Dropdown	Mandatory	Y/N to answer if Extension was Requested
Date Extension Requested	Open Field	Mandatory if Extension Requested was 'Yes'	Date Extension was requested, if applicable.
Reason for Extension	Dropdown	Mandatory if Extension Requested was 'Yes'	Reason for Extending Request

Variable	Туре	Field Requirement	Description
Date Requested_Awaiting Clarification	Open Field	Mandatory if Request Status was changed to Awaiting Clarification	Date user requested customer to send clarification
Date Closed_Awaiting Clarification	Open Field	Mandatory if Request Status was changed to Awaiting Clarification	Date user received clarification from customer
Time Lapse_Awaiting Clarification	Formula excluding weekends	Automatic	Number of days since request was asked to be clarified until user received a reply or request was Closed (didn't receive a reply within 60-working day period since clarification was asked for)
Reason for Denying Request	Dropdown	Mandatory if Request Status was Denied	Reason for Denying Request
Exception Applied	Dropdown	Mandatory	Y/N if Exception was applied
Exception Type Applied	Dropdown	Mandatory	Type of Exception Applied
Officer Position	Dropdown	Mandatory	Position of Officer who last handled request
Officer Name	Open Field	Mandatory	Name of Officer who last handled request

ANNEX "F"

FOI Request Form

	ن د	
FREEI	DOM OF INFORMATION	N REQUEST FORM
Write neatly and in BLOCK	nformation carefully before proceedin letters, Improper or incorrectly-filled sary, Note: (◀) denotes a MANDATOF	g with your application. Use blue or black in out forms will not be acted upon. Tick or ma RY field.
A. Requesting Party		SN 1008001-100801
	ly your name and address for corre- plication and correspond with you	spondence. Additional contact details wil in the manner you prefer.
1. Title (e.g. Mr, Mrs, Ms,	Miss) 2. Given Name/s (includ	ding M.I) 3. Surname
4. Complete Address (A	◀ Apt/House Number, Street, City/Municip	pality, Province)
5. Landline/Fax	6. Mobile	7. Email
		le Number
8. Preferred Mode of C	(If your request is succe this manner.)	
8. Preferred Mode of C 9. Preferred Mode of R	this manner.)	☐ Postal Address ☐ Pick-Up at Agency

3. Requested Information	
11. Agency - Connecting Agency (if applicable)	4
12. Title of Document/Record Requested (Please be as detailed as possible)	4
13. Date or Period (DD/MM/YY)	4
14. Purpose	4
15. Document Type	▼
16. Reference Numbers (if known)	4
17. Any other Relevant Information	4

- FREEDOM OF INFORMATION -

C. Declaration	and the state of t
No. 2. If the Department or Agency give sonal information about you, the docume	four information from your application will be used by the agency application as set out in the Freedom of Information Executive Order is you access to a document, and if the document contains no persent will be published online in the Department's or Agency's disclosted you applied, and, if another person, company or body will use he name of that person, entity or body.
	nment-issued ID to establish proof of my identity
Signature	4
Date Accomplished (DD/MM/YYYY)	4
D. FOI Receiving Officer [INTER]	NAL USE ONLY]
Name (Print name)	4
Agency - Connecting Agency (if applicable, otherwise N/A)	4
Date entered on eFOI (if applicable, otherwise N/A)	4
Proof of ID Presented (Photocopies of original should be attached)	☐ Passport ☐ Driver's License ☐ SSS ID ☐ Postal ID ☐ Voter's ID ☐ School ID ☐ Company ID ☐ Others
The request is recommended to be:	☐ Approved ☐ Denied
If Denied, please tick the Reason for the Denial	☐ Invalid Request ☐ Incomplete ☐ Data already available online
Second Receiving Officer Assigned (print name)	4
Decision Maker Assigned to Application (print name)	4
Decision on Application	☐ Successful ☐ Partially Successful ☐ Denied ☐ Cost
If Denied, please tick the Reason for the Denial	☐ Invalid Request ☐ Incomplete ☐ Data already available online ☐ Exception Which Exception?
Date Request Finished (DD/MM/YYYY)	
Date Documents (if any) Sent (DD/MM/YYYY)	◀
FOI Registry Accomplished	☐ Yes ☐ No
RO Signature	4
Date (DD/MM/YYYY)	4

- FREEDOM OF INFORMATION -

ANNEX "G-1"

FOI RESPONSE TEMPLATE - DOCUMENT ENCLOSED

DATE
Dear,
Greetings!
Thank you for your request dated <insert data=""> under Executive Order No. 2 (s. 2016) or Freedom of Information in the Executive Branch.</insert>
Your request
You asked for <quote complicated="" exactly,="" is="" it="" long="" request="" too="" unless="">.</quote>
Response to your request
Your FOI request is approved. I enclose a copy of [some/most/all]* of the information you requested [in the format you asked for]
Thank you.
Respectfully,
FOI Receiving Officer

ANNEX "G-2"

FOI RESPONSE TEMPLATE - ANSWER

DATE
Dear,
Greetings!
Thank you for your request dated <insert data=""> under Executive Order No. 2 (s. 2016) or Freedom of Information in the Executive Branch.</insert>
Your request
You asked for <quote complicated="" exactly,="" is="" it="" long="" request="" too="" unless="">.</quote>
Response to your request
Your FOI request is approved. The answer to your request is <insert answer=""></insert>
Thank you.
Respectfully,
FOI Receiving Officer

ANNEX "G-3"

FOI RESPONSE TEMPLATE - DOCUMENT AVAILABLE ONLINE

ANNEX "G-4"

FOI RESPONSE TEMPLATE - DOCUMENT NOT AVAILABLE

DATE
Dear
Greetings!
Thank you for your request dated <insert data=""> under Executive Order No. 2 (s. 2016) on Freedom of Information in the Executive Branch.</insert>
Your request
You asked for <quote complicated="" exactly,="" is="" it="" long="" request="" too="" unless="">,</quote>
Response to your request
While our aim is to provide information whenever possible, in this instance this Office does not have [some of]* the information you have requested. However, you may wish to contact <insert authority="" name="" of="" organization="" other=""> at<insert able="" annex="" are="" be="" contact="" details.="" don't="" explained="" have="" help="" in="" information="" letter.<="" may="" reasons="" td="" the="" this="" to="" we="" who="" why="" you.=""></insert></insert>
Your right to request a review
If you are unhappy with this response to your FOI request, you may ask us to carry out an internal review of the response, by writing to <insert address="" and="" e-mail="" name="" of="" postal="" secretary="">. Your review request should explain why you are dissatisfied with this response, and should be made within 15 calendar days from the date when you received this letter. We will complete the review and tell you the result, within 30 calendar days from the date when we receive your review request.</insert>
If you are not satisfied with result of the review, you then have the right to appeal to the Office of the President under Administrative Order No. 22 (s. 2011).
Thank you.
Respectfully,
FOI Receiving Officer

ANNEX "G-5"

FOI RESPONSE TEMPLATE - UNDER EXCEPTIONS

DATE
Dear,
Greetings!
Thank you for your request dated <insert data=""> under Executive Order No. 2 (s. 2016) on Freedom of Information in the Executive Branch.</insert>
Your request
You asked for <quote complicated="" exactly,="" is="" it="" long="" request="" too="" unless="">.</quote>
Response to your request
While our aim is to provide information whenever possible, in this instance we are unable to provide [some of]* the information you have requested because an exception(s) under section(s) <insert applies="" exceptions="" information="" list="" number(s)="" of="" section="" specific="" that="" the="" to="">. The reasons why that exemption(s) applies are explained in the Annex to this letter.</insert>
Your right to request a review
If you are unhappy with this response to your FOI request, you may ask us to carry out an internal review of the response, by writing to <insert address="" and="" e-mail="" name="" of="" postal="" secretary="">. Your review request should explain why you are dissatisfied with this response, and should be made within 15 calendar days from the date when you received this letter. We will complete the review and tell you the result, within 30 calendar days from the date when we receive your review request.</insert>
If you are not satisfied with result of the review, you then have the right to appeal to the Office of the President under Administrative Order No. 22 (s. 2011).
Thank you.
Respectfully,
FOI Receiving Officer

ANNEX "G-6"

FOI RESPONSE TEMPLATE - IDENTICAL REQUEST

ANNEX "H"

NO WRONG DOOR POLICY



REPUBLIC OF THE PHILIPPINES

PRESIDENTIAL COMMUNICATIONS OPERATIONS OFFICE

Tanggapang Pampanguluhan sa Operasyong Komunikasyon Ermita, City of Manila

FOI-MCNo.21-05

FREEDOM OFINFORMATION MEMORANDUM CIRCULAR

FOR

BUREAUS, ALL AGENCIES. DEPARTMENTS, OFFICES AND INSTRUMENTALITIES OF THE EXECUTIVE BRANCH INCLUDING GOVERNMENT-OWNED AND/OR CONTROLLED CORPORATIONS (GOCCS), STATE UNIVERSITIES AND COLLEGES (SUCS), AND LOCAL WATER DISTRICTS (LWDS)

SUBJECT:

GUIDELINES ON THE REFERRAL OF REQUESTED RECORD/S INFORMATION, OFFICIAL PUBLIC RECORD/S TO THE APPROPRIATE GOVERNMENT AGENCY OTHERWISE KNOWN AS

THE "NO WRONG DOOR POLICY FOR FOI"

WHEREAS, Executive Order (EO) No. 02, s. 2016 was issued by President Rodrigo Roa R. Duterte to operationalize the Constitutional Right of Access to Information, and Policy of Full Public Disclosure in the Executive Department;

WHEREAS, Memorandum Order (MO) No. 10, s. 2016 designated the Presidential Communications Operations Office (PCOO) as the lead agency in the implementation of EO No. 02, s. 2016;

WHEREAS, Department Order No. 18, s. 2017, issued by the PCOO, created the Freedom of Information - Project Management Office (FOI-PMO) to exercise the mandate of MO No. 10, s. 2016;

WHEREAS, in order to ensure the policy of the President to have an open, transparent and accountable government, it is the mandate of the PCOO to develop programs and mechanisms to enhance the capacity of government agencies to comply with the FOI program;

WHEREAS, there is a need to break the prevailing "silo system" and lack of interconnection among government agencies, with the end goal of a government acting as a singular unit serving its primary client, its citizens;

NOW, THEREFORE, by virtue of PCOO's mandate to develop programs and mechanism to ensure compliance with the FOI program, particularly on addressing the issue regarding the referral of any requested information, official record/s, or public record/s to the appropriate government agency, these rules are hereby prescribed and promulgated for the information, guidance and compliance of all concerned:

Section 1. Purpose. –This rule seeks to set guidelines for the referral of any requested information, official record/s, or public record/sto the appropriate government agency by another agency which does not have in its possession or custody the requested information or records, or is not authorized to release the information to the public.

Section 2. Coverage. – This Order shall cover all government agencies under the Executive branch implementing the FOI Program, pursuant to EONo.2, s. 2016 and all other related issuances, and applies to both paper-based and electronic form of requesting information.

Section3.RequestforInformation.– Any person who requests for access to information shall comply with Section 9 of EO No. 02, s. 2016 and all other pertinent laws, existing rules and regulations, issuances, and orders. For purposes of this rule, information and records shall refer to information, official record/s, or public record/s as defined under EONo.02, s.2016.

Section 4. Acceptance of request.— As a general rule, all fully compliant requests for information shall be accepted by the FOI Receiving Officer (FRO) and FOI Decision Maker (FDM). No request for information shall be denied or refused acceptance by a government office unless the reason for the request is contrary to the Constitution, pertinent laws, existing rules and regulations, or it is one of the exceptions provided under the Inventory of Exceptions.

Section5.ProcessofReferral.—Whentherequestedinformationisnotin the possession of a government agency (government agency no. 1 or GA1), but is available in another government agency (government agency no. 2 or GA2) under the Executive Branch, the request shall be immediately referred by GA1to GA2 through the most expeditious manner but not exceeding three (3) working days from the receipt of the request. This shall be considered as the "First Referral" and a fresh period will apply.

Referral to the appropriate government agency shall mean that another government office is the proper repository or custodian of the requested information or records, or have control over the said information or records

If GA1 fails to refer the request within three (3) working days upon its receipt, the FRO shall action it with in the remaining period to respond pursuant to EONo.02, s.2016. No fresh period shall apply.

If GA1, in good faith, erroneously referred the request to GA2, the latter shall immediately notify the former as well as the requesting party, that the information requested is not available in their agency.

GA2, to whom the request was referred under the First Referral may subsequently refer the request to another government agency (government agency no. 3 or GA3) under the procedure set forth in the first paragraph of this Section. This shall be considered as the "Second Referral" and another fresh period shall apply.

Referrals under this Order shall only be limited to two (2) subsequent transfers of request. A written or email acknowledgement of the referral shall be made by the FRO of the government agency where it was referred.

There questing party shall be notified of the referral and must be provided with the reason or rationale thereof, and contact details of the government office where the request was referred.

Section 6. FOI Internal Messenger. -The FOI-PMO shall create a "**FOI Internal Messenger**". Such feature shall be included in the dashboards of FROs and FDMs, located at the eFOI portal or www.foi.gov.ph,where all FROs and FDM scan ask or confirm with each other on which agency has the control and custody of any information or record being requested.

Please see Annex "A" of this Circular for the No Wrong Door Policy Flowchart.

Section 7. Status of the Request.– A request that is referred to the appropriate government agency is considered **successful** if the same is acknowledged and the requested information is disclosed to the requestor.

If GA3, after the second referral, still cannot provide the information requested, it shall deny the said request and shall properly notify the requesting party.

In all phases of the referral, the requesting party shall be informed in writing, email, and/or through the eFOI of the status of his/her request.

Section 8. Inventory of Receiving Officers and Decision Makers, and Agency Information Inventory.—For the convenience of all FROs and FDMs

In implementing this Circular, an inventory of the names and contact details of all designated FROs and FDMs of government agencies, and an Agency Information Inventory (AII) shall be compiled by the FOI-PMO.

TheFOI-

PMOshallbethecentralrepositoryoftheinventoryofalldesignated FROs and FDMs and shall collate and update the names and contact information of the designated FROs and FDMs of each government agency. The inventory shall be posted at the eFOI portal, www.foi.gov.ph. FOI-PMO shall strictly adhere to Republic Act No.10173 or the Data Privacy Act of 2012.

To assist the FROs in locating the requested information or record, an annual updating of the AII shall be required of all agencies on-boarded on the eFOI Portal. The consolidated inventory of information shall likewise be made available in the dashboard of the FRO and FDM for ease of access and information.

Section 9. Separability Clause. If, for any reason, any part or provision of this Memorandum Circular is declared invalid or unconstitutional, the other provisions not affected thereby shall remain in full force and effect.

Section 10. Repealing Clause. All orders, rules and regulations, memoranda, circulars, and issuances or any part thereof inconsistent with the provisions of this Memorandum Circular are hereby repealed, amended or modified accordingly.

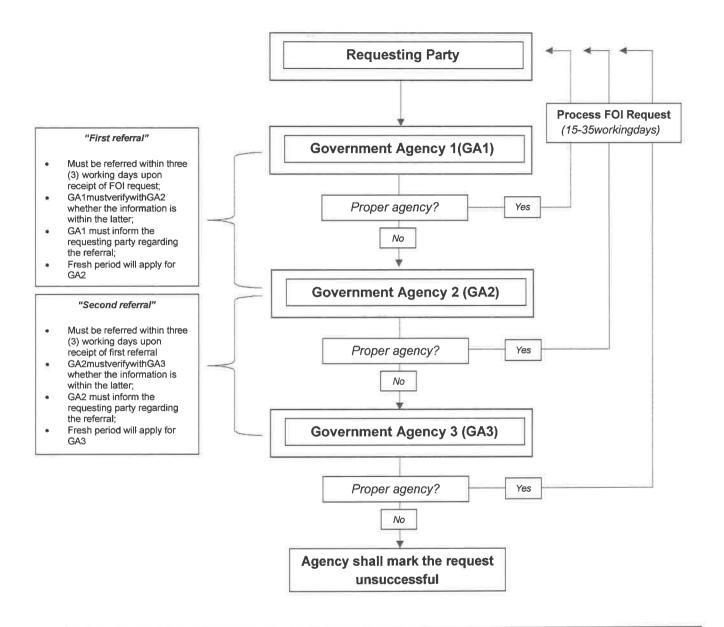
Section11. Effectivity. This Memorandum Circular shall take effect immediately.

Manila, Philippines, 27th day of August 2021.

PERTOMARTINM.ANDANAR
Secretary and FOI Champion

AnnexA

NOWRONGDOORPOLICYFLOWCHART



NOTE:

If GA1 fails to refer the request within three (3) working days upon its receipt, the FOI Receiving Officer (FRO) shall action It within the remaining period to respond pursuant to EONo.2, s.2016. No fresh period shall apply.